## Hurwitz Number Fields

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Notation:  $NF_m(\mathcal{P})$  is the set of isomorphism classes of degree m number fields ramified only within  $\mathcal{P}$  and with associated Galois group all of  $A_m$  or  $S_m$ .

- **1.** Sets  $NF_m(\mathcal{P})$  and mass heuristics
- 2. First example
- **3. 10000 fields in**  $NF_{25}(\{2,3,5\})$
- 4. Generalities I: Families
- 5. Generalities II: Specialization
- 6. 2000 fields in  $NF_{202}(\{2,3,5\})$
- 7. Concluding remarks

**1.** Sets  $NF_m(\mathcal{P})$  and mass heuristics. Let  $F_{\mathcal{P}}(m) = |NF_m(\mathcal{P})|$ . Some known cases:

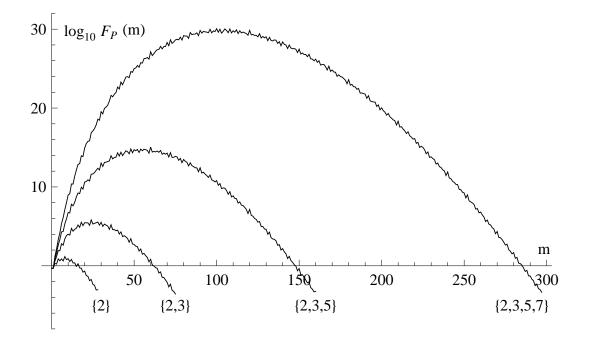
${\cal P}$	1	2	3	4	5	6	7
{}	1	0	0	0	0	0	0
{2}	1	3	0	0	0	0	0
{2,3}	1	7	9	23	5	62	10
$\{2, 3, 5\}$	1	15	32	144	1415		
$\{2, 3, 5, 7\}$	1	31	108	906	11465		
$\{2, 3, 5, 7, 11\}$	1			5488			
$\{2, 3, 5, 7, 11, 13\}$	1	127	1168	31684			

E.g.,  $NF_5(\{2,3\}) = \{\mathbb{Q}[x]/f_i(x)\}_{i=1,\dots,5}$  with

 $f_{1}(x) = x^{5} - 2x^{4} + 4x^{3} - 6x + 12$   $f_{2}(x) = x^{5} - 2x^{4} + 2x^{3} + 4x^{2} - 5x + 2$   $f_{3}(x) = x^{5} - x^{4} - 2x^{3} + 6x^{2} - 6x + 6$   $f_{4}(x) = x^{5} - 2x^{3} - 4x^{2} - 9x - 4$  $f_{5}(x) = x^{5} - x^{4} + 4x^{3} - 12x^{2} + 12x - 12$ 

A local-global mass heuristic lets one predict  $F_{\mathcal{P}}(m)$ . For example, it predicts  $F_{\{2,3,5,7\}}(5) \approx$  15561 while in fact  $F_{\{2,3,5,7\}}(5) = 11465$ . It seems reasonable to expect that the prediction is asymptotically correct as one goes down a column.

But what about going to the right on a row, i.e. the behavior of  $F_{\mathcal{P}}(m)$  for fixed  $\mathcal{P}$  and increasing m? The literal predictions of the mass heuristic are as follows in some examples:



This might lead one to expect that, for any fixed  $\mathcal{P}$ , no matter how large,  $F_{\mathcal{P}}(m)$  is eventually zero. This may be indeed be correct for "small"  $\mathcal{P}$ . For example, the largest m for which  $\begin{cases} F_{\{2,3\}}(m) \\ F_{\{2,3\}}(m) \end{cases}$  is known to be nonzero is  $\begin{cases} m = 2 \\ m = 64 \end{cases}$ 

However...

For  $\Gamma$  a non-abelian finite simple group, let  $\mathcal{P}_{\Gamma}$ be the set of primes dividing  $|\Gamma|$ . Note that the only such  $\mathcal{P}_{\Gamma}$  with  $|\mathcal{P}_{\Gamma}| \leq 3$  are  $\{2,3,p\}$ with  $p \in \{5,7,13,17\}$ .

Define  $\mathcal{P}$  to be *large* if  $\mathcal{P}$  contains some  $\mathcal{P}_{\Gamma}$  and *small* otherwise. So if  $|\mathcal{P}| \leq 2$  or  $2 \notin \mathcal{P}$  then  $\mathcal{P}$  is small.

This talk is about a systematic (and rather classical!) construction of what we call *Hurwitz number algebras.* Their ramifying primes are very well controlled and evidence points strongly to Galois groups being generically the full alternating or symmetric groups on the degree. Accordingly we now think,

**Conjecture.** For any fixed large  $\mathcal{P}$ , the number  $F_{\mathcal{P}}(m)$  can be arbitrarily large.

**2. First example.** Consider polynomials in  $\mathbb{C}[y]$  of the form

$$g(y) = y^5 + by^3 + cy^2 + dy + e.$$

The four critical values are given by the roots of the resultant

$$r(t) = \operatorname{Res}_{y}(g(y) - t, g'(y)).$$

Explicitly, this resultant works out to  

$$\begin{aligned} r(t) &= \\ 3125t^4 \\ +1250(3bc-10e)t^3 \\ + (108b^5 - 900b^3d + 825b^2c^2 - 11250bce + 2000bd^2 \\ +2250c^2d + 18750e^2)t^2 \\ -2(108b^5e - 36b^4cd + 8b^3c^3 - 900b^3de + 825b^2c^2e + 280b^2cd^2 \\ -315bc^3d - 5625bce^2 + 2000bd^2e + 54c^5 + 2250c^2de \\ -800cd^3 + 6250e^3)t \\ + (108b^5e^2 - 72b^4cde + 16b^4d^3 + 16b^3c^3e - 4b^3c^2d^2 - 900b^3de^2 \\ +825b^2c^2e^2 + 560b^2cd^2e - 128b^2d^4 - 630bc^3de + 144bc^2d^3 \\ -3750bce^3 + 2000bd^2e^2 + 108c^5e - 27c^4d^2 + 2250c^2de^2 \\ -1600cd^3e + 256d^5 + 3125e^4). \end{aligned}$$

To find all polynomials g(y) whose critical values are, say, -2, 0, 1, 2, we need to solve r(t) = 3125(t+2)t(t-1)(t-2) for (b,c,d,e). The twenty-five e that arise are the roots of

 $F(e) = 2^{98} 3^8 e^{25} + \dots + 4543326944239835953052526892234,$ 

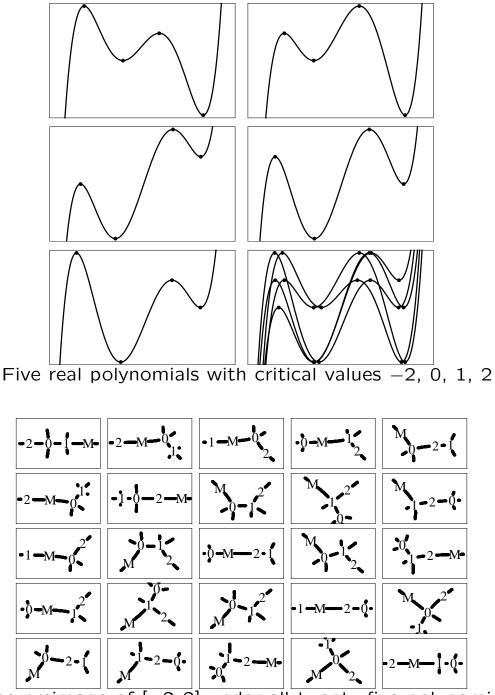
which is irreducible over  $\mathbb{Z}$ .

A better defining polynomial for  $\mathbb{Q}[e]/F(e)$  is  $f(x) = x^{25} - 5x^{24} + 15x^{23} - 5x^{22} - 380x^{21} + 1290x^{20} - 4500x^{19} - 28080x^{18} + 183510x^{17} + 74910x^{16} - 3033150x^{15} + 4181370x^{14} + 27399420x^{13} - 48219480x^{12} - 124127340x^{11} + 266321580x^{10} + 466602765x^9 - 592235505x^8 - 905951965x^7 + 1232529455x^6 + 2423285640x^5 + 664599470x^4 - 814165000x^3 - 517891860x^2 - 58209720x + 2436924.$ 

Its field discriminant is known *a priori* to be of the form  $\pm 2^*3^*5^*$  and is in fact

Not much is known *a priori* about the Galois group of f(x). It works out to  $A_{25}$ .

## Some pictures illustrating the situation:



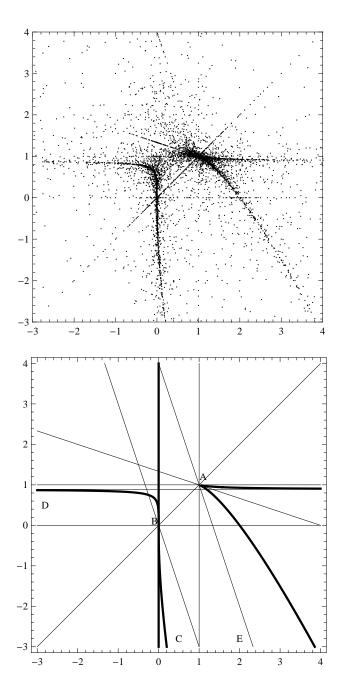
The preimage of [-2,2] under all twenty-five polynomials

**3.** 10000 fields in  $NF_{25}(\{2,3,5\})$  Our specialization polynomial (t+2)t(t-1)(t-2) can be replaced by any quartic polynomial with leading coefficient and discriminant divisible only by 2, 3, and 5. Via changes of coordinates, most cases are covered by the family

$$s(u, v; t) = t^4 - 6ut^2 - 8ut - 3uv.$$

The corresponding moduli polynomial F(u, v; e) has 145 terms with coefficients averaging 37 digits.

A small search gets 11031 pairs (u, v) which keep ramification in  $\{2, 3, 5\}$ :

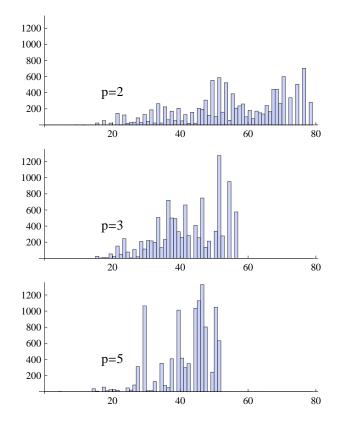


Top: Specialization points (u, v) for F(u, v; e). Bottom: the discriminant locus (thick) and special lines (thin).

Over each of the special lines, the defining equation can be much simplified. E.g. over u = v it becomes

$$f_{AB}(u,x) = 4(1-u)(x+2) \cdot (729x^8 - 486x^7 - 702x^6 - 8x^5 + 105x^4 + 1118x^3) - 1557x^2 + 1296x - 576)^3 - 5^{15}u(x-1)^4x^9.$$

Computation shows that all 11031 specialization points give  $A_{25}$  or  $S_{25}$  fields. The behavior of the exponents a, b, c in  $D = \pm 2^a 3^b 5^c$  is very constrained:



**4. Generalities I: Families.** In general, a Hurwitz number algebra is indexed by its *pa-rameter*,

$$H = (\lambda_1, \ldots, \lambda_\ell; Z_1, \ldots, Z_\ell; M).$$

The parameter for our first example was

$$H = (2111, 5; \{-2, 0, 1, 2\}, \{\infty\}; S_5).$$

In general, the  $\lambda_i$  are partitions of a given positive integer n, the  $Z_i$  are disjoint  $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ stable subsets of  $\mathbb{P} = \mathbb{C} \cup \{\infty\}$ , and M is a transitive degree n permutation group of the form  $\Gamma$  or  $\Gamma$ .2 with  $\Gamma$  non-abelian simple.

Let  $X_H$  be the set of degree n covers of  $\mathbb{P}$ , ramified only over  $\cup Z_i$ , with local ramification partition  $\lambda_i$  for all  $t \in Z_i$ , and global monodromy group M. Then  $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  acts naturally on  $X_H$  and the corresponding Hurwitz number algebra is  $K_H$ . Its primes of bad reduction are within the primes of bad reduction for  $\cup Z_i$  and the primes dividing |M|. Replacing each  $Z_i$  by its size  $z_i$  gives the corresponding familial parameter:

$$h = (\lambda_1, \ldots, \lambda_\ell; z_1, \ldots, z_\ell; M).$$

Each h gives a chain of varieties

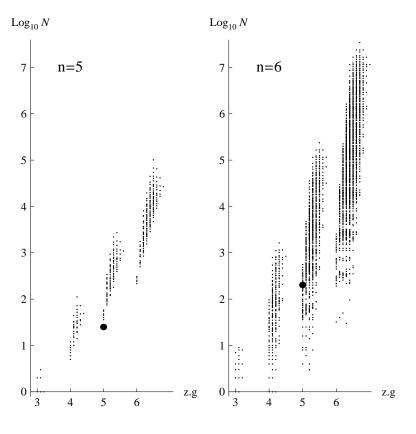
$$Y_h \xrightarrow{n} X_h \times \mathbb{P} \to X_h \xrightarrow{m} U_{z_1, \dots, z_\ell}.$$

One starts with a focus on degree n covers of  $\mathbb{P}$  and ends with a complicated degree m cover  $X_h$  of the very simple variety  $U_{z_1,...,z_\ell}$ .

One can cut down dimensions by three via the natural  $PGL_2(\mathbb{C})$  action. In our degree twenty-five case  $h = (2111, 5; 4, 1; S_5)$ , the *u*-*v* plane is an essential slice of the five-dimensional variety  $U_{4,1}$ . Over this slice, the cover  $X_h$  is given by the equation F(u, v; e) = 0.

Important invariants of families are

- n, the degree of the original cover
- z, the number of ramification points
- g, the genus of the original cover
- m, the degree of the moduli cover



Dots correspond to families

		<i>z</i> =	= 11	111						z :	= 21				
n	$g   \lambda_1$	$\lambda_2$	$\lambda_3$	$\lambda_4$	$\lambda_5$	m	$\mu$	n g	$\lambda_1$	$\lambda_1$	$\lambda_2$	$\lambda_3$	$\lambda_4$	m	$\mu$
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		$\overline{z}$	= 3	11				6 0	2	2	3	22	5	125	
n	$g   \lambda_1$	$\lambda_1$	$\lambda_1$	$\lambda_2$	$\lambda_3$	m	$\mu$	6 0	2	2	3	22	42	100	
6	03	3	3	2	4	96		6 1	22	22	2	222	33		10.5
	02	2	2	2 4	5	75		6 1	2	2	32	222		60	
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	02	2	2	22	6	54	0.0		22	22	2 2 2	3 3	222	57	
	02	$\frac{1}{2}$		32	33	54		6 1	222	222	2	3	32	48	8.0
	02	$\frac{1}{2}$	$\frac{1}{2}$	3	4	48		6 1	2	2 222	4	222	33	48 <i>a</i>	4.5
5	02	2	2	3 22	4	48		6 1	222	222	2	3 32	4	48 <i>b</i>	
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$\begin{bmatrix} 6\\6\\\\ n\\6\\5\\\\ n\\5\\\end{bmatrix}$	$\begin{array}{c c} 0 & 2 \\ 1 & 222 \\ \hline g & \lambda_1 \\ \hline 0 & 3 \\ \hline 0 & 2 \\ \hline g & \lambda_1 \\ \hline 0 & 3 \\ \hline 0 & 3 \\ \end{array}$	$2 \\ 222$ $\lambda_1$ $3 \\ 2$ $z$ $\lambda_1$ $3 \\ 3 \\ 22$	$2 \\ 222$ $2 = 4$ $\lambda_1$ $3 \\ 2$ $3 \\ \lambda_1$ $3 \\ 3 \\ 22$	$222 \\ 2$ $1 \\ \lambda_1$ $3 \\ 2$ $2 \\ \lambda_2$ $2 \\ 222 \\ 2$	$33 \\ 22 \\ \lambda_2 \\ 22 \\ 5 \\ \lambda_2 \\ 2 \\ 222 \\$	9b m 192 25 m 55 48	μ 	$ \begin{array}{c} 6 & 0 \\ 6 & 0 \\ 6 & 0 \\ 6 & 0 \\ 6 & 1 \\ 6 & 6 \\ 5 & 0 \\ 5 & 0 \\ 6 & 0 \\ \end{array} $	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	$\lambda_1$ 2 2 2 2 2 2 3 2 2 2 2 2 2 2 2 2 2 2 2	$\begin{array}{c} \lambda_1 \\ 22 \\ 4 \\ 3 \\ 33 \\ 222 \\ 222 \\ 22 \\ 33 \\ 3 \\ 222 \\ 3 \\ 222 \\ 222 \\ 222 \end{array}$	$\begin{array}{c} \lambda_2 \\ 22 \\ 4 \\ 3 \\ 33 \\ 222 \\ 222 \\ 222 \\ 33 \\ 3 \\ 222 \\ 3 \\ 222 \\ 222 \\ 222 \\ 222 \end{array}$	42 3 42 5 22 3 3 3 3 22 3 3 3 5 42	$     128 \\     89 \\     80 \\     75 \\     60a \\     60b \\     54a \\     54b \\     60 \\     58 \\     48 \\     39     $	4.5 4.0 9.0 4.5
$\begin{bmatrix} 6\\6\\\\ n\\6\\5\\\\ n\\5\\6\\5\\\\ \end{bmatrix}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$2 \\ 222$ $\lambda_1$ $3 \\ 2$ $\lambda_1$ $3 \\ 3 \\ 22$	$2 \\ 222$ $z = 4$ $\lambda_1$ $3 \\ 2$ $\lambda_1$ $3 \\ 22$ $z = 1$	$222 \\ 2 \\ 1 \\ \lambda_1 \\ 3 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 5 \\ 5 \\ 5 \\ 5$	$33 \\ 22 \\ \lambda_2 \\ 22 \\ 5 \\ \lambda_2 \\ 222 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 2$	9b m 192 25 m 55 48 40	μ μ 1.3	$\begin{array}{c} 6 \\ 0 \\ 0 \\ 0 \\ 0 \\ 6 \\ 6 \\ 6 \\ 6 \\ 6 \\$	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	$\lambda_1$ 2 2 2 2 2 2 3 2 2 2 2 2 2 2 2 2 2 2 2	$\begin{array}{c} \lambda_1 \\ 22 \\ 4 \\ 3 \\ 33 \\ 222 \\ 222 \\ 22 \\ 33 \\ 3 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \end{array}$	$\begin{array}{c} \lambda_2 \\ 22 \\ 4 \\ 3 \\ 322 \\ 222 \\ 222 \\ 22 \\ $	42 3 42 5 22 33 33 22 33 5 42 33	$     128 \\     89 \\     80 \\     75 \\     60a \\     60b \\     54a \\     54b \\     60 \\     58 \\     48 \\     39 \\     25ab \\     $	4.5 4.0 9.0 4.5 8.5
$\begin{bmatrix} 6\\6\\ \end{bmatrix}$ $\begin{bmatrix} n\\6\\5\\ \end{bmatrix}$ $\begin{bmatrix} n\\5\\6\\5\\ \end{bmatrix}$	$\begin{array}{c c} 0 & 2 \\ 1 & 222 \\ \hline g & \lambda_1 \\ \hline 0 & 3 \\ \hline 0 & 2 \\ \hline g & \lambda_1 \\ \hline 0 & 3 \\ \hline 0 & 3 \\ \end{array}$	$2 \\ 222$ $\lambda_1$ $3 \\ 2$ $z$ $\lambda_1$ $3 \\ 3 \\ 22$	$2 \\ 222$ $2 = 4$ $\lambda_1$ $3 \\ 2$ $3 \\ \lambda_1$ $3 \\ 3 \\ 22$	$222 \\ 2$ $1 \\ \lambda_1$ $3 \\ 2$ $2 \\ \lambda_2$ $2 \\ 222 \\ 2$	$33 \\ 22 \\ \lambda_2 \\ 22 \\ 5 \\ \lambda_2 \\ 2 \\ 222 \\$	9b m 192 25 m 55 48	μ 	$\begin{array}{c} 6 \\ 6 \\ 0 \\ 6 \\ 6 \\ 6 \\ 6 \\ 6 \\ 6 \\ 6 \\$	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	$\lambda_1$ 2 2 2 2 2 2 2 3 22 2 2 2 2 2	$\begin{array}{c} \lambda_1 \\ 22 \\ 4 \\ 3 \\ 33 \\ 222 \\ 222 \\ 22 \\ 33 \\ 3 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \\ 222 \end{array}$	$\begin{array}{c} \lambda_2 \\ 22 \\ 4 \\ 3 \\ 33 \\ 222 \\ 222 \\ 222 \\ 33 \\ 3 \\ 222 \\ 3 \\ 222 \\ 222 \\ 222 \\ 222 \end{array}$	42 3 42 5 22 3 3 3 22 3 3 5 42 33 22 33 22	$     128 \\     89 \\     80 \\     75 \\     60a \\     60b \\     54a \\     54b \\     60 \\     58 \\     48 \\     39 \\     25ab \\     $	4.5 4.0 9.0 4.5

Five-point families with genus  $\leq 1$  and low degree

5. Generalities II: Specialization. For each partition z, we have a base-stack  $U_z$  over  $\mathbb{Z}$  which contains our specialization points (after quotient by  $PGL_2$ ). We are interested in their points  $U_z(\mathbb{Z}^{\mathcal{P}})$ . These are very explicit sets.

Examples:

 $U_{1,1,1,1}(\mathbb{Z}^{\mathcal{P}}) = \{t \in \mathbb{Z}^{\mathcal{P} \times} : t - 1 \in \mathbb{Z}^{\mathcal{P} \times}\}$  $U_{1,1,1,1}(\mathbb{Z}^{\{2,3\}}) = S_3\{2,3,4,9\} \quad (21 \text{ elements})$ 

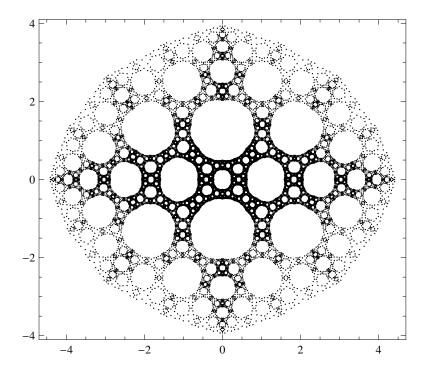
 $U_{3,1}(\mathbb{Z}^{\mathcal{P}}) = \{j \text{-invs for ECs over } \mathbb{Z}^{\mathcal{P}}\}$  $|U_{3,1}(\mathbb{Z}^{\{2,3,5\}})| = 440$ 

It's easy to produce elements of these sets. In favorable cases, one can prove that there are no more elements, e.g. for the cases  $U_{3^{c}2^{b}1^{a}}(\mathbb{Z}^{\{2,3,5\}})$ . For example,

$$|U_{2^{15},1^4}(\mathbb{Z}^{\{2,3,5\}})| = 3,923,023,104,000.$$

For the conjecture, it is important to prove that for all large  $\mathcal{P}$ , the sets  $U_z(\mathbb{Z}^{\mathcal{P}})$  can be arbitrarily large. In fact this is true for all nonempty  $\mathcal{P}$ , via cyclotomic polynomials and their near-relatives.

Example: the roots of an irreducible near-relative of a cyclotomic polynomial of degree  $2^{15} =$ 43768 and discriminant of the form  $\pm 2^*$ . This polynomial gives one of many systematically constructed points in  $U_{43768,1,1,1}(\mathbb{Z}^{\{2\}})$ .



6. 2000 fields in  $NF_{202}(\{2,3,5\})$ . To construct these fields:

I. Construct family belonging to

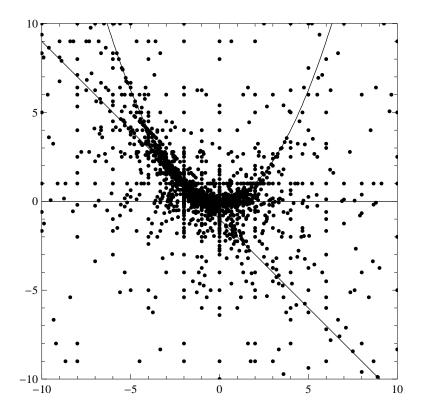
 $h = (3_0 2_b 1_c, 3_1 111, 4_\infty 11, 21111; 1, 1, 1, 2; S_6).$ This procedure starts with consideration of

$$g(y) = \frac{ay^{3}(y-b)^{2}(y-c)}{y^{2}+dy+e}$$

with (a, b, c, d, e) chosen so that the critical values are 0, 1,  $\infty$  and the two roots of  $(t^2 + ut + v)$ . The result is a polynomial F(u, v; b) with 9226 terms.

II. Plug in the 2947 elements (u, v) in the specialization set  $U_{1,1,1,2}(\mathbb{Z}^{\{2,3,5\}})$ . Each gives a degree 202 polynomial of field discriminant of the form  $\pm 2^a 3^b 5^c$ . To support the conjecture, we would like many of these polynomials to be irreducible with Galois group all of  $A_{202}$  or  $S_{202}$ .

The specialization set  $U_{1,1,1,2}(\mathbb{Z}^{\{2,3,5\}})$  is



For all 2947 specialization points, the Galois group of F(u, v; b) is all of  $A_{202}$  or  $S_{202}$ .

Even degenerations of our polynomial F(u, v; b)have degrees which are large enough to pose computational challenges. For example

 $F(-2t, t^2; x) = (3x^2 - 12x + 10)^5 f_{32}(t, x)^3 f_{48}(t, x)^2$ These degenerations give 4-point MNFs.

## 7. Concluding Remarks.

A. Starting with degree *n* families of Malle and others, involving the simple groups  $\Gamma = PSL_2(7)$ ,  $PSL_2(8)$ ,  $PSL_2(11)$ ,  $M_{12}$ , we get degree *m* families, still with  $A_m$  and  $S_m$  as desired, but now with other bad primes, e.g.  $\{2,3,7\}$ .

B. There seems hope for predicting the ramification of Hurwitz number algebras in terms of the placement of the specialization point in the relevant specialization set  $U_z(\mathbb{Z}^{\mathcal{P}})$ .

C. So what does the sequence of  $F_{\mathcal{P}}(m) = |NF_m(\mathcal{P})|$  look like for  $\mathcal{P}$  large? We don't know, but perhaps something in the spirit of

 $\dots, 0, 10^{10}, 0, \dots, 0, 0, 10^{100}, 0, 0, \dots, 0, 0, 0, 10^{1000}, 0, 0 \dots$